PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
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TRANSMITTAL ' FORM		Filing Date	February 3, 2004					
		First Named Inventor	Rodric C. Fan					
(to be used for all correspondence after initial filing)		Art	Unknown					
Ť		Examiner	Unknown					
Total Number of Pages in This Subm	ission 3	Attorney Docket Number	2502295-991100					
ENCLOSURES (Check all that apply)								
Fee Transmittal Form	×	Drawing(s)	After Allowance Communication to Group					
Fee Attached		Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences					
Amendment/Reply		Petition	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)					
After Final		Petition to Convert to a Provisional Application	Proprietary Information					
Affidavits/declaration	``'	Power of Attorney, Revocation Change of Correspondence Addre						
Extension of Time Request		Terminal Disclaimer	Other Enclosure(s) (please identify below):  Information Disclosure Statement (3pg);					
Express Abandonment Req		Certificate of First Class Mail						
Information Disclosure State		CD, Number of CD(s)	Return postcard.					
Certified Copy of Priority Document(s)  Remarks								
Response to Missing Parts/ Incomplete Application								
Response to Missing Parts under 37 CFR 1.52 or 1.53								
F:	SIGNATURE	OF APPLICANT, ATTORN	EY, OR AGENT					
Firm Kieun Jenny Gray Cary W	Sung are & Freidenr	ich LLP						
Signature // Signature								
Date March 4, 2004								
CERTIFICATE OF TRANSMISSION/MAILING								
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Signature	Sue ti	than	Date March 4, 2004					
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This collection of information is required by 37 CFH 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

# **INFORMATION DISCLOSURE STATEMENT**

Applicants:	Rodric C. Fan et al.
Application No.	10/771,605
Filed:	February 3, 2004
For:	AUTONOMOUS MOBILE ELECTRONIC MAIL SYSTEM
Group Art Unit:	Unknown
Examiner:	Unknown
Attorney Docket No.:	2502295-991100

Commissioner of Patents and Trademarks P.O. Box 1450, Alexandria, VA 22313-1450

## Dear Sir:

make of record the re	eferen	the provisions of 37 C.F.R. § 1.56(a) and 37 C.F.R. § 1.97, Applicant(s) hereby ces listed on the accompanying Form PTO-1449 for consideration by the Examine nination of the above-identified patent application.
This Informat	ion Di	sclosure Statement:
(a)		accompanies a new patent application submitted herewith.
(b)	$\boxtimes$	is filed within three (3) months of the Filing Date or before the mailing date of a First Office Action on the merits; <b>OR</b>
(c)		after the period defined in (b) but before the mailing date of a Final Rejection or Notice of Allowance, <b>OR</b>
(d)		is filed after the first Office Action and more than three months after the application's filing date or PCT national stage date of entry filing but, as far as is known to the undersigned prior to the mailing date of either a final rejection or a notice of allowance, and is accompanied by either the fee (\$180) set forth in 37 CFR § 1.17(p) or a certification as specified in 37 CFR § 1.97(e), as checked below <b>OR</b>
(d)	<b>⊕</b> 2	is filed after the mailing date of either a final rejection or a notice of allowance, and the issue fee has not been paid, and is accompanied by the requisite petition fee (\$130) set forth in 37 CFR § 1.17(I)(1) and a certification as specified in 37 CFR § 1.97(e), as checked below. This document is to be considered as a petition requesting consideration of the information disclosure statement.
As required u appropriate space]:	ınder (	§ 1.97(e), Applicants, through the undersigned, hereby state either that [check the
(f)	Ö	Each item of information contained in the Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing date of the Information Disclosure Statement; or
(g)		No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

It is respectfully requested that each of the references shown on the attached Form PTO-1449 be made of record in this application. Copies of the references are enclosed

The Commissioner is authorized to che to our Deposit Account No. 07-1896	arge any deficiencies and credit any overpayment of fees
	Respectfully submitted,
Date: March 4, 2004	GRAY CARY WARE & FREIDENRICH LLP
	By: Reg. No. Kieun Jenny Sung Reg. No. 48,639 Attorneys for Applicant(s) 2000 University Avenue East Palo Alto, CA 94303 650-833-2121
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March  $\mathcal{H}$ , 2004

Date

Cathy Pittman

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Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code 2 (if known)	Publication MM-DD-Y		Name of Patentee or Applicant of Cited Document			Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		<sup>US-</sup> 5,959,577	09-28-1	999	Fan et al.				
_	<del></del>	<sup>US-</sup> 5,990,827	11-23-1	999	Fan et al.				
		<sup>US-</sup> 5,999,892	12-07-1	999	Fan				
		<sup>US-</sup> 6,219,694	04-17-2	001	Lazaridis et al.				
		<sup>US-</sup> 6,459,988	10-01-2	002	Fan et al.				
		<sup>US-</sup> 6,529,159	03-04-2	003	Fan et al.				
		<sup>US-</sup> 6,552,682	04-22-2	003	Fan				
		<sup>US-</sup> 6,594,576	07-15-2	003	Fan et	al.			
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Initials* No.1 Country Code 3 Number 4 Kind Co			MM-DD-	YYYY	Applicant of Cited Document		Where Relevant Passages or Relevant Figures Appear	т 6	
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Examiner	Date	
Signature	Considered	

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Rodric C. Fan et al.

Serial No.:

10/771,605

Art Unit: Unknown

Filed:

February 3, 2004

Examiner: Unknown

TITLE:

AUTONOMOUS MOBILE ELECTRONIC MAIL SYSTEM

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